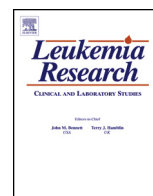




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## *NUP98/11p15* translocations affect CD34+ cells in myeloid and T lymphoid leukemias

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### ABSTRACT

We assessed lineage involvement by *NUP98* translocations in myelodysplastic syndromes (MDS), acute myeloid leukaemia (AML), and T-cell acute lymphoblastic leukaemia (T-ALL). Single cell analysis by FICTION (Fluorescence Immunophenotype and Interphase Cytogenetics as a Tool for Investigation of Neoplasms) showed that, despite diverse partners, i.e. *NSD1*, *DDX10*, *RAP1GDS1*, and *LNP1*, *NUP98* translocations always affected a CD34+/CD133+ hematopoietic precursor. Interestingly the abnormal clone included myelomonocytes, erythroid cells, B- and T- lymphocytes in MDS/AML and only CD7+/CD3+ cells in T-ALL. The *NUP98-RAP1GDS1* affected different hematopoietic lineages in AML and T-ALL. Additional specific genomic events, were identified, namely *FLT3* and *CEBPA* mutations in MDS/AML, and *NOTCH1* mutations and *MYB* duplication in T-ALL.

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### 1. Introduction

*NUP98* is a member of the nucleoporin protein family which constitutes the nuclear pore complex, the large channel spanning the nuclear envelope to mediate nucleocytoplasmic transport [1]. Since the *NUP98-HOXA9* fusion deriving from recurrent t(7;11)(p15;p15) was first identified in acute myeloid leukaemia (AML) [2,3], more than 30 *NUP98* partners have been identified in the myelodysplastic syndrome (MDS), acute myeloid leukaemia (AML), chronic myeloid leukaemia in blastic phase (BP-CML), and T-cell acute lymphoblastic leukaemia (T-ALL) [4]. No *NUP98* fusions have been detected in B cell lymphoid malignancies, so far. The *NUP98* amino-terminal portion containing FG repeats always participates in fusion proteins while the partner gene provides the C-terminal portion. Despite the heterogeneity, *NUP98* partners fall into the two main categories of homeodomain genes (HD) and non-HD genes. Notably, in mouse models HD partners confer oncogenic properties to a significantly greater extent than non-HD partners [5].

In T-ALL only 3 partners (*SETBP1*, *CCDC28A*, *RAP1GDS1*) have been described to date while 2 others (*ADD3* and *IQCG*) were reported to be involved in biphenotypic myeloid/T leukaemia. A specific fusion such as *NUP98/NSD1* is only found in MDS and AML [4]. Despite these results the role of fusion partners in determining disease phenotype is not fully clarified.

To explore this issue we used FICTION (Fluorescent Immunophenotype and Interphase Cytogenetic as a Tool for Investigation of Neoplasms) as a single cell analysis to investigate expression of myeloid and lymphoid lineage specification antigens in leukemic cells bearing *NUP98* translocations.

### 2. Materials and methods

#### 2.1. Patients

9 patients (5 males, 4 females; age range: 16–65) with 11p15/*NUP98* positive haematological malignancies (2 MDS-RAEB, 5 AML, and 2 T-ALL) were recruited at the Haematology Departments, Universities of Perugia and Rome (Italy), Centre for Human Genetics, University of Leuven (Belgium), and the Department of Clinical Genetics, Erasmus University of Rotterdam (The Netherlands) (Table 1). The identity of several fusion partners has already been reported elsewhere [6–11]. All patients gave their informed consent to sample collection and biological analyses in accordance with the Declaration of Helsinki. The study was approved by the Bioethics Committee, University of Perugia (Prot.1.X.2011).

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**Table 1**  
Clinical, haematological, cytogenetic and molecular features of patients with *NUP98* translocations.

Cases	S/A	Diagnosis	CD34+ cells <sup>o</sup> (%)	Karyotype	<i>NUP98</i> partner	SNPa	Mutations
1	M/36	RAEB2	51	46,XY[20]	<i>NSD1</i>	normal	<i>FLT3</i> -ITD; <i>CEBPA</i> (c.1004 T>C p.L335P)
2	M/65	RAEB	n.a.	46,XY,add(11)(p15)[17]/46,XY[3]	<i>NSD1</i>	<b>LOSS:</b> 15q26.1 <b>LOH:</b> 6q24.2-q25.2, 13q12.3-q14.1, 16q11.2-q21, 19p13.1-p13.3	–
3	F/31	AML	86	46,XX[20]	<i>NSD1</i>	<b>LOSS:</b> 9p21.2-p21.3	<i>FLT3</i> -ITD
4	M/39	AML-M4	1	30-45,XY,-1[3],-9[4],-10[4],inv(11)(p15q22)[10],-15[3],-17[4],-18[4],-19[4],-20[4],-21[4][cp10]	<i>DDX10</i>	n.a.	–
5	M/28	AML-M2	73	46,XY,t(3;11)(q12;p15)[15]	<i>LNP1</i>	n.a.	<i>FLT3</i> -ITD
6	F/36	AML-M2	1	46,XX,t(11;12)(p15;q13)[15]	unknown	n.a.	–
7	F/60	AML-M0	90	46-47,XX,t(1;17)(q22;q11),t(4;11)(q17;q15),?der(8)(p?) [7], del(11)(p12p17)[6],del(12)(p11p13),add(14)(q372)[7],p15[3], del(15)(q173q272)[cp22]/46,XX[4]	<i>RAP1GDS1</i>	<b>LOSS:</b> 2p25.2, 3q23, 5q13.3-q14.1, 5q22.1, 11p13, 12p11.2-p13.3, 15q11.2-q21.1, 16q12.1, 17q11.2-q12, 19q13.1, 21q21.1	–
8	F/16	T-ALL	0	47,XX,t(4;11)(q21;p15),+8[20]	<i>RAP1GDS1</i>	<b>GAIN:</b> 6q23.3, chromosome 8	<i>NOTCH1</i> (c.7200-7201ins(GGGAA); p.N2401Gfs*23 c.7318C>T p.Q2440*)
9	M/25	T-ALL	20	46,XY,t(4;11)(q21;p15),del(5)(q31q35),der(16)t(X;16)(p11;p13)[12]	<i>RAP1GDS1</i>	<b>LOSS:</b> 5q23.2-q35.3, 16p13.1-p13.3 <b>GAIN:</b> 5p13.3-p15.33, 6q23.3, Xp21.1-q22.3	<i>NOTCH1</i> (c.4672G>A,p.G1558R; c.7403-7408 delinsCCCGCGCAAGGGGC, p.L2468Pfs*11)

S, sex; A, age in years; F, female; M, male; SNPa, single nucleotide polymorphism array; RAEB2, refractory anaemia with excess of blast, type 2; RAEB, refractory anaemia with excess of blast; AML, acute myeloid leukaemia; T-ALL, T-cell acute lymphoblastic leukaemia;<sup>o</sup> by cytometry at diagnosis; n.a., not available; – no additional mutations identified.

2.2. Fiction

FICTION was performed as already described [12], using the following mouse monoclonal antibodies: anti-CD33, CD3, CD7, CD19, CD20, Glycophorin A (Dako, Milan, Italy), anti-CD34, CD13, CD14 (Becton-Dickinson, Milan, Italy), and anti-CD133 (Miltenyi Biotec S.r.l., Bologna, Italy) antigens and a three-step staining technique with Cy3-conjugated polyclonal antibodies. *NUP98* gene was investigated with two genomic clones (RP11-348A20 and CTD-3234FF16F, both labelled with Spectrum green) encompassing the full gene. Immunophenotype and hybridization signals were simultaneously identified and counted on 10–100 cells for each antibody, using an Olympus fluorescence microscope equipped with a double band filter, a CCD camera (Sensys-Photometrics, Tucson AZ, USA) and image analysis software (Vysis, SmartCapture, Olympus, Milan, Italy). Two healthy donors were used as normal controls. The cut-off for *NUP98* translocation (3 signals) was established at the upper limit of normal controls. All slides were evaluated by two independent observers. Data are reported as the means of results.

2.3. SNP array and Interphase FISH

Single Nucleotide Polymorphism-Array (SNPa) was performed with CytoScan HD Affymetrix platform, following the manufacturer's instructions. 250 ng of high quality genomic DNA obtained from unsorted bone marrow cells of patients nos 1–3 and 7–9 (Table 1) was used to study Copy Number Alterations (CNAs) and copy neutral loss of heterozygosity (cnLOH). Protocol was supported by Affymetrix GeneChip Command Console (AGCC) software. Analysis was performed with Affymetrix Chromosome Analysis Suite 2.0 (ChAS) software. For accurate state detection, filters were set at 100 kb dimension for CNAs and 10 Mb dimension for cnLOH. Our data refer to NetAffx Build 32.3 (hg19) database. Polymorphic copy-number variations were excluded from analysis (Database of Genomic variants: <http://projects.tcag.ca/variation/>). Interphase FISH (I-FISH) with fosmids G248P89100B2, encompassing *MYB*, and G248P89828A1, flanking the 3' *MYB*, was done in case no. 9.

2.4. Mutational analysis

Mutational analysis was performed on DNA obtained from patients' unsorted bone marrow cells. The *CEBPA*, *TP53*, and *TET2* whole coding sequences were analyzed by DHPLC. *NOTCH1* (exons 26,27,34), *FLT3* (ITD and D835Y), *PU.1* (exon 3), *SETBP1* (exon 4), *GATA1* (exon 2), *GATA2* (exons 3,4,5,6,7), *GATA3* (exons 4,5,6), and *DNMT3A* (exons 15,19,20,21,22,23) were investigated by Sanger sequencing.

3. Results

3.1. Fiction

FICTION results are summarized in Table 2. Representative images of FICTION experiments in patient no.1 are showed in Fig. 1. In MDS and AML (patients nos. 1–7), *NUP98*+ cells were expressed in the myelomonocytes (range: 60–94% for CD33+; 31–100% for CD13+, 60–90% for CD14+), the erythroid lineage (33–100% for Glyc A+), B- cells (39–69% for CD20+; 35–74% for CD19+) and T cells (13–76% for CD7+; 42–70% for CD3+).

In T-ALL (patients nos. 8 and 9) only T cells were involved (83–90% CD7+ and 100% CD3+) downstream to CD34+/CD133+ hematopoietic precursors.

3.2. SNP array and I-FISH

A total of 24 events were detected in 6/9 patients, 20 CNVs (5 gains; 15 losses) and 4 cnLOHs. Both patients with *NUP98*+ T-ALL (cases nos. 8 and 9, Table 1) presented a small gain at chromosome 6q23.3, corresponding to *MYB* gene duplication. In case no. 9, I-FISH detected *MYB* tandem duplication in about 50% of nuclei.

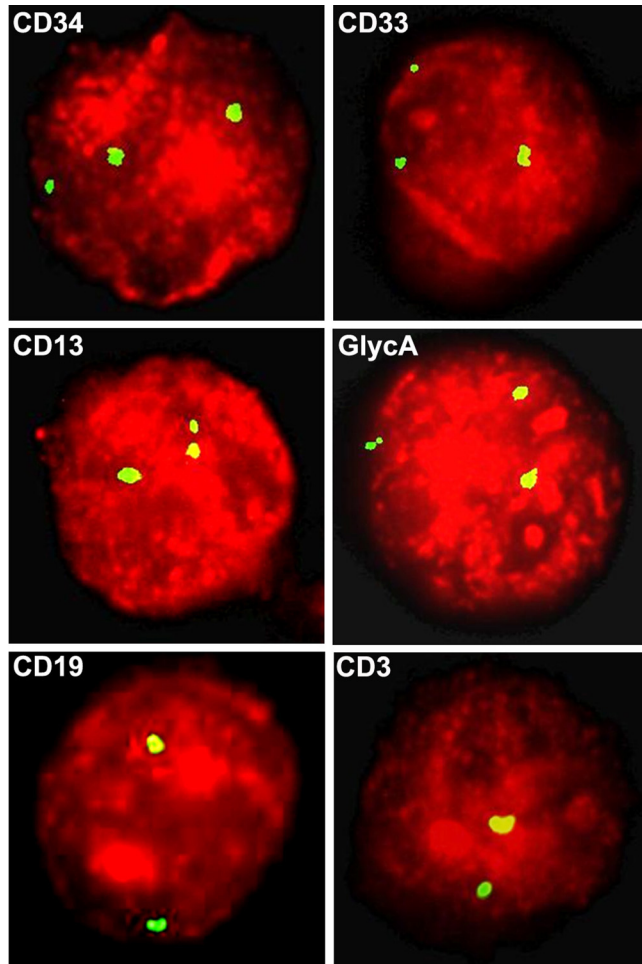
3.3. Mutational analysis

*NOTCH1* mutations were found only in T-ALL cases (cases nos. 8 and 9). In patient no. 8 we observed c.7200-7201ins(GGGAA) p.N2401Gfs\*23 and the recurrent c.7318C>T p.Q2440\*, both in the PEST domain. In patient no. 9 we detected c.4672G>A, p.G1558R (HD domain) and c.7403-7408delinsCCCGCGCAAGGGGC, p.L2468Pfs\*11 (PEST domain) (Table 1). *CEBPA* analysis showed patient no. 1 (RAEB2) carried a novel heterozygous missense C-terminal mutation c.1004T>C p.L335P (Table 1). *FLT3*-ITD was found

**Table 2**  
FICTION results.

	case 1	case 2	case 3	case 4	case 5	case 6	case 7	case 8	case 9	control 1	control 2
CD34	92/99 (93)	13/36 (36)	86/100 (86)	34/47 (72)	57/92 (62)	9/13 (69)	32/40 (80)	14/15 (93)	50/53 (94)	2/50 (4)	0/50 (0)
CD133	40/51 (78)	18/31 (58)	–	20/30 (67)	40/53 (75)	–	22/26 (85)	20/30 (67)	–	0/54 (0)	1/42 (2)
CD33	94/100 (94)	50/83 (60)	50/53 (94)	55/72 (76)	28/30 (93)	–	60/100 (60)	1/25 (4)	–	2/60 (3)	2/56 (4)
CD13	90/100 (90)	70/100 (70)	85/110 (77)	50/83 (60)	41/62 (66)	–	24/78 (31)	2/52 (4)	0/20 (0)	0/40 (0)	2/52 (4)
CD14	36/49 (74)	60/100 (60)	–	70/78 (90)	60/90 (67)	–	20/30 (67)	0/31 (0)	–	0/52 (0)	0/50 (0)
Glycophorin A	90/106 (85)	70/93 (75)	0/40 (0)	31/40 (78)	10/10 (100)	–	23/70 (33)	2/39 (5)	0/16 (0)	0/55 (0)	4/85 (5)
CD3	0/100 (0)	70/100 (70)	0/34 (0)	22/53 (41)	0/22 (0)	0/50 (0)	13/29 (45)	38/38 (100)	100/100 (100)	0/60 (0)	0/63 (0)
CD7	15/110 (13)	60/100 (60)	0/80 (0)	23/30 (76)	1/45 (2)	0/52 (0)	40/80 (50)	50/60 (83)	100/110 (90)	1/52 (2)	0/70 (0)
CD20	0/100 (0)	50/100 (50)	–	34/49 (69)	1/25 (4)	–	22/36 (39)	1/30 (3)	0/30 (0)	0/35 (0)	2/50 (4)
CD19	0/100 (0)	40/100 (40)	–	57/77 (74)	0/46 (0)	–	8/23 (35)	1/24 (4)	–	2/44 (5)	0/36 (0)

Case number refers to Table 1. Number of positive/total cells (percentage); –, not done.



**Fig. 1.** FICTION (Fluorescence Immunophenotype and Interphase Cytogenetics as a Tool for Investigation of Neoplasms) on intact cells in MDS with *NUP98* translocation (patient no. 1). FICTION with monoclonal antibodies against CD34, CD33, CD13, Glycophorin A, CD19, CD3 (red). Red staining detects positive intact cells expressing the specific antigen. Green spots indicate FISH signals in the nuclei using a FITC labelled genomic probe for *NUP98*. Two green signals indicate normal disomic cells; three show *NUP98* split because of translocation (magnification 1.000 $\times$ ). (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

in three cases, two with *NUP98-NSD1* and one with *NUP98-LNP1* (Table 1). No mutations were detected in the *TP53*, *TET2*, *PU.1*, *SETBP1*, *GATA1*, *GATA2*, *GATA3*, and *DNMT3A* genes (data not shown).

#### 4. Discussion

The present study was designed to gain insights into clonal cells that are affected by *NUP98* leukemic translocations and to

investigate whether *NUP98* partners play a role in the leukemic phenotype. FICTION, the technique we used, was previously validated as a reliable qualitative single cell analysis for characterizing the leukemic bulk in genetic entities [12–15]. It combined immunostaining with monoclonal antibodies against lineage specification antigens with *in situ* hybridization of DNA probes to detect genomic lesions in our 9 representative cases (7 MDS/AML and 2 T-ALL) of *NUP98* positive malignancies affecting myeloid and T-cell leukemias.

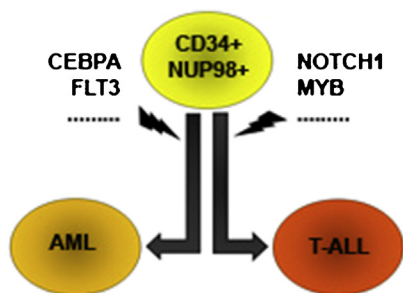
Studying four *NUP98* translocations with partners *NSD1*, *DDX10*, *LNP1*, and *RAP1GDS1* we showed that all *NUP98* translocations were present in CD34+/CD133+ hematopoietic precursors in both MDS/AML and T-ALL, even when cases displayed no evidence of CD34 expression at diagnostic cytometry (Table 1, cases nos. 4, 6, 8). Interestingly, a CD34+ multi-potent progenitor was reported to be involved in well established cytogenetic-molecular MDS/AML subtypes, such as those bearing *PML-RARA*, *RUNX1-ETO*, *inv(3)*, *5q-, +8* and monosomy 7 [16–21]. As far as we know present results are the first observation of a specific genetic lesion in the CD34+ cells of T-ALL.

The present investigation found the CD34+/CD133+ precursors that were affected by a *NUP98* translocation were restricted to different lineages in MDS/AML and in T-ALL. In fact, only CD3+ CD7+ cells were involved in T-ALL while, despite inter-individual variations, myelomonocytic, erythroid, B and T lineages were involved in MDS/AML (Table 2 and Fig. 1). Interestingly one case of AML (no. 7) and one case of T-ALL (no. 8), showed the *RAP1GDS1* *NUP98* partner with the same exon2 fusion point [10,11], suggesting the leukaemia phenotype could have been addressed by additional (epi)genetic or microenvironmental events.

In the search for additional events we performed SNPs and mutational analysis on 11 candidate genes in our series. We found 6q23.3/*MYB* tandem duplication and *NOTCH1* gain-of-function mutations in T-ALL (Table 1, cases nos. 8 and 9). *MYB* deregulation is recognized as a leukemogenic event in a T-ALL subgroup with *MYB*/6q23.3 tandem duplication/gain or translocation with the *TCR* gene [22]. *NOTCH1* activation initiates the T-cell differentiation programme of uncommitted precursors in the thymic stroma [23] and *NOTCH1* mutations are an established oncogenic event in T-ALL [24]. As expected, *NOTCH1* mutations were not found in our cases of MDS/AML.

In MDS/AML we identified *FLT3*-ITD (Table 1, cases nos. 1, 3 and 5) and a mono-allelic *CEBPA* mutation, involving the C-terminal DNA binding domain (Table 1, case no. 1). The myeloid effects of *FLT3* and *CEBPA* mutations were proven in *in vivo* models. *FLT3*-ITD induced myeloid progenitor expansion in mice [25] and strong synergism between *NUP98-NSD1* fusion and *FLT3*-ITD increased proliferation and promoted self-renewal with short latency to AML development [26]. Moreover Kato et al. [27], using a BMT (Bone Marrow Transplantation) model, provided evidence for the oncogenic properties of a C-terminal *CEBPA* mutation and for its collaboration with *FLT3*-ITD in AML induction.





**Fig. 2.** Proposed schematic representation for T-ALL and AML bearing *NUP98* translocations. *NUP98* affects CD34 precursors. An additional genetic change like *FLT3-ITD* or *CEBPA* mutations pulls leukemogenesis towards MDS/AML while *NOTCH1* mutations and *MYB* duplications push towards T-ALL. ..... refer to hypothetical additional events that remain to be discovered.

Our results are schematically represented in Fig. 2 suggesting that additional mutations contributed to the phenotypic expression of *NUP98* rearranged leukemias.

## 5. Conclusions

This *ex vivo* study provided evidence that *NUP98* translocations are early leukemic lesions which affect a CD34+ precursor. Myeloid or T-lymphoid leukemic immunophenotypes were not addressed by *RAP1GDS1* gene as translocation partner. New insights into additional lineage specific genetic events associated with *NUP98* translocation in both T-ALL and MDS/AML were provided.

## Conflict of interest statement

The authors reported no potential conflicts of interest.

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**Contributions:** CM and BC conceived the study and wrote the paper. VN, GB, CaM, DDG, PG, RLS, CM analyzed and interpreted data. BB, AV, IW, PV provided patients samples. All authors contributed to the final approved version of this report.

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